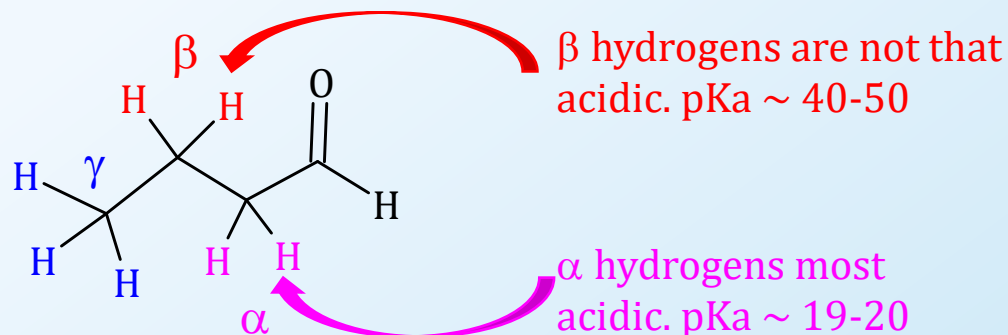


**Alpha Carbon Chemistry**  
**1 – Keto Enols and Substitution  
Reactions**

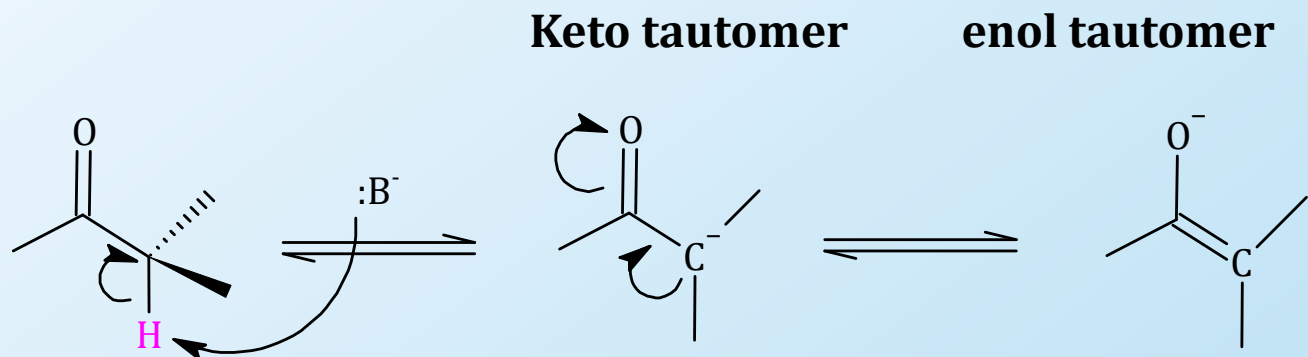
*Dr. Sapna Gupta*

# The $\alpha$ Carbon Proton

Alpha ( $\alpha$ ) carbon is the one next to carbonyl carbon. Due to polarity of carbonyl group the protons on alpha carbon are slightly acidic.



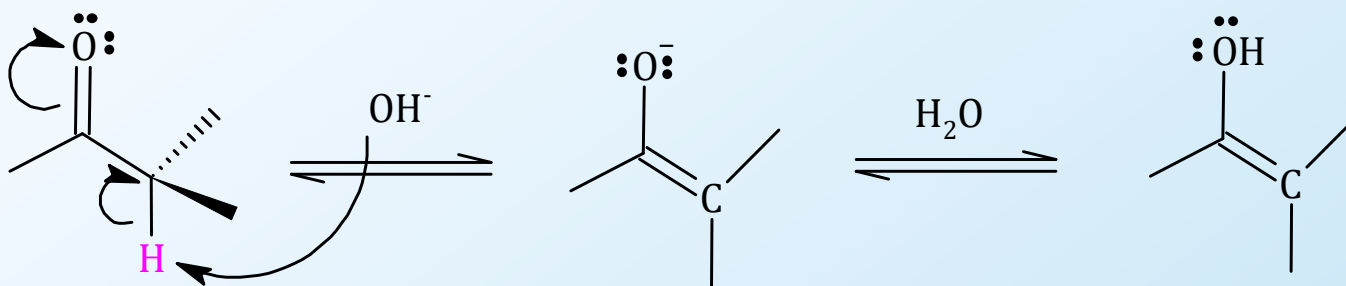
After abstraction of the  $\alpha$  proton by a strong base, the resulting ion is resonance stabilized, however, both structures can be isolated hence they are not really resonance structures but isomers – called tautomers.



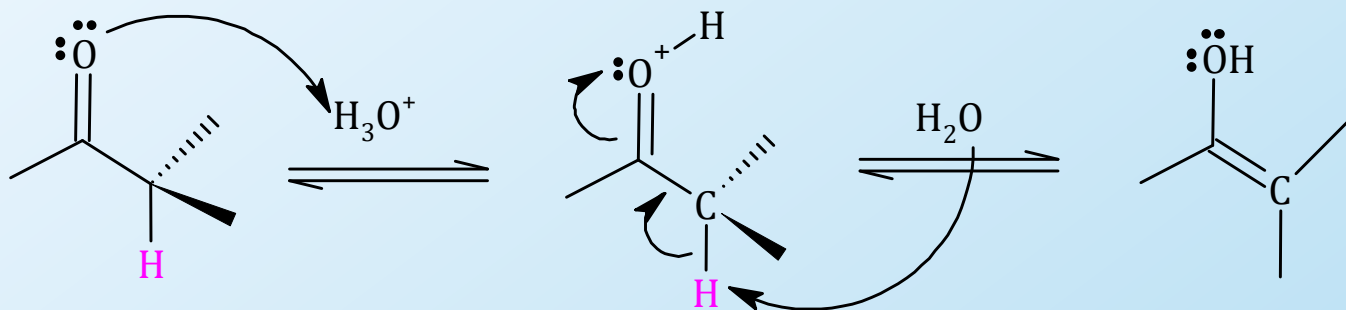
# Keto-Enol Formation in Acids/Bases

Carbonyl compounds can be converted to keto-enols in both acidic and basic conditions, and the keto-enol are interconvertible to carbonyls.

## Basic Conditions

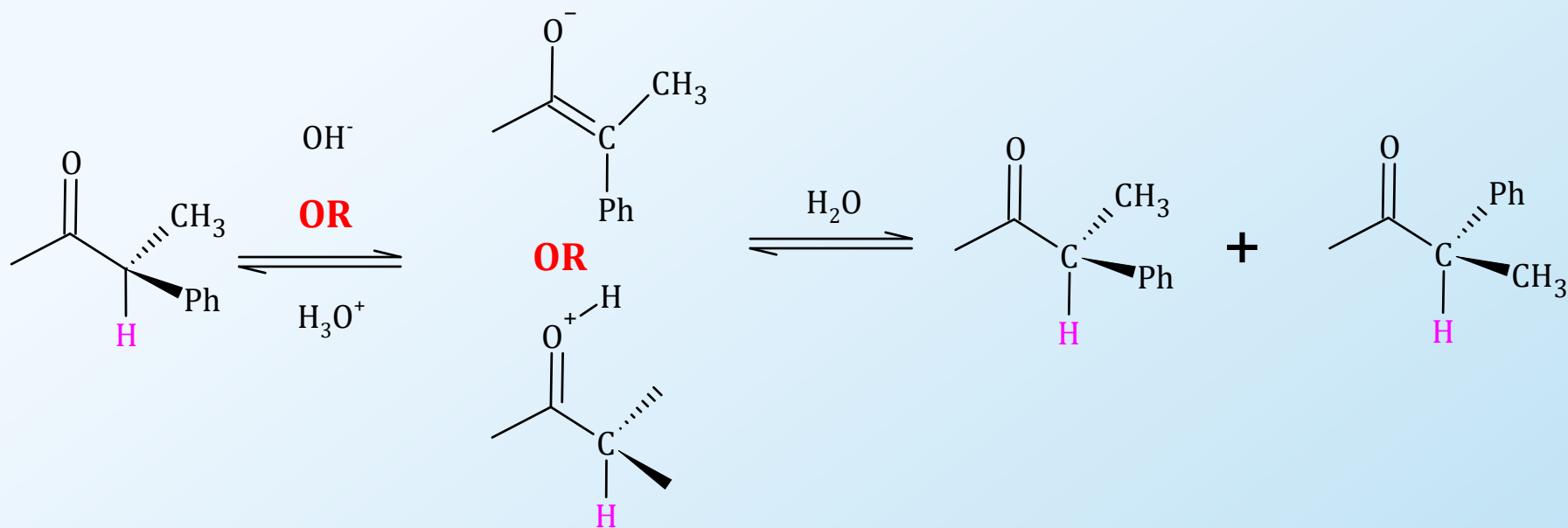


## Acidic Conditions



# Keto-Enol Tautomers for Chiral Compounds

If a carbon is chiral then chirality is lost during the abstraction of the  $\alpha$  proton and tautomerism.



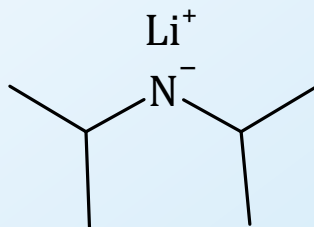
# pKa for Some Carbonyl Compounds

Functional group	Structure	pK <sub>a</sub>	Functional group	Structure	pK <sub>a</sub>
Carboxylic acid	$\begin{array}{c} \text{O} \\    \\ \text{CH}_3\text{COH} \end{array}$	5	1,3-Diketone	$\begin{array}{c} \text{O} \quad \text{O} \\    \quad    \\ \text{CH}_3\text{CCH}_2\text{CCH}_3 \end{array}$	9
Alcohol	$\text{CH}_3\text{CH}_2\text{OH}$	16	2-Keto ester	$\begin{array}{c} \text{O} \quad \text{O} \\    \quad    \\ \text{CH}_3\text{CCH}_2\text{COCH}_3 \end{array}$	11
Aldehyde	$\begin{array}{c} \text{O} \\    \\ \text{CH}_3\text{CH} \end{array}$	17	1,3-Diester	$\begin{array}{c} \text{O} \quad \text{O} \\    \quad    \\ \text{CH}_3\text{OCCH}_2\text{COCH}_3 \end{array}$	13
Ketone	$\begin{array}{c} \text{O} \\    \\ \text{CH}_3\text{CCH}_3 \end{array}$	19	Nitrile	$\text{CH}_3\text{CN}$	25
Ester	$\begin{array}{c} \text{O} \\    \\ \text{CH}_3\text{COCH}_3 \end{array}$	25			

# Bases Used for Making Keto-Enols

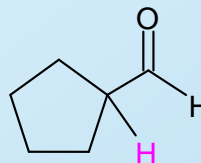
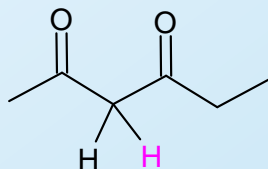
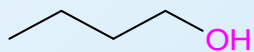
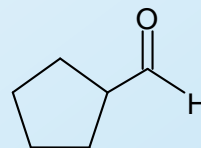
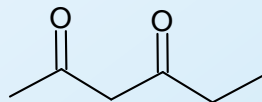
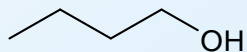
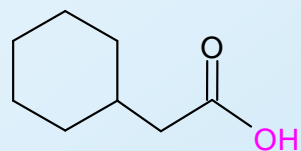
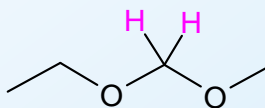
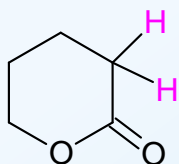
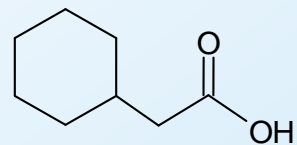
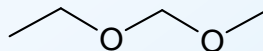
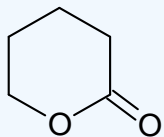
The bases used for abstracting the acidic protons are strong bases – but not good nucleophiles or they will carry out nucleophilic addition on the carbonyl carbon.

Good bases are Lithium diisopropyl amide (LDA),  $\text{MeO}^-$ ,  $\text{EtO}^-$ , and  $\text{NaOH}$ .



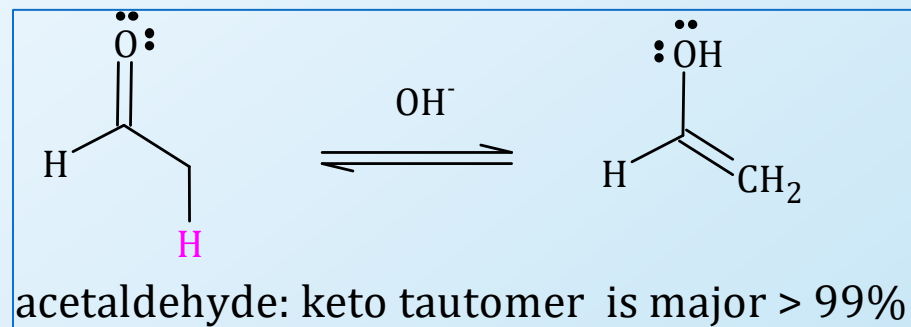
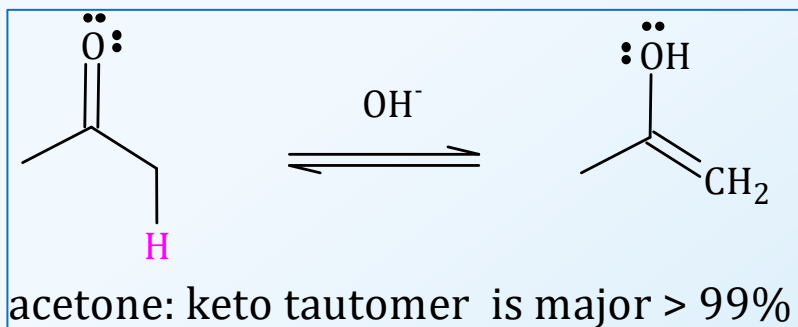
Lithium Diisopropyl Amide

**Worked Example:** Identify the most acidic protons in the following compounds.

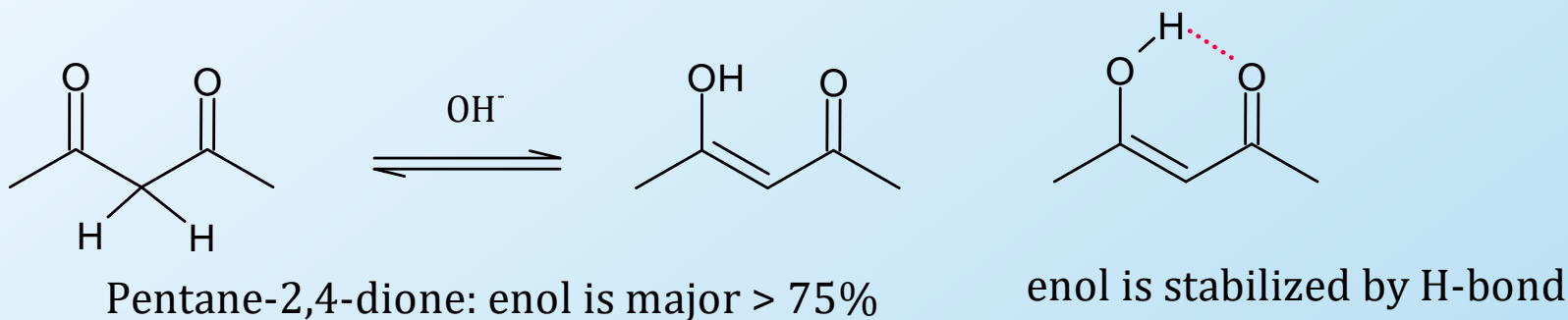


# Stability of Keto-Enol Tautomers

Some tautomers are more stable than others. For example, the keto form of acetaldehyde and acetone are more stable than the enol form.



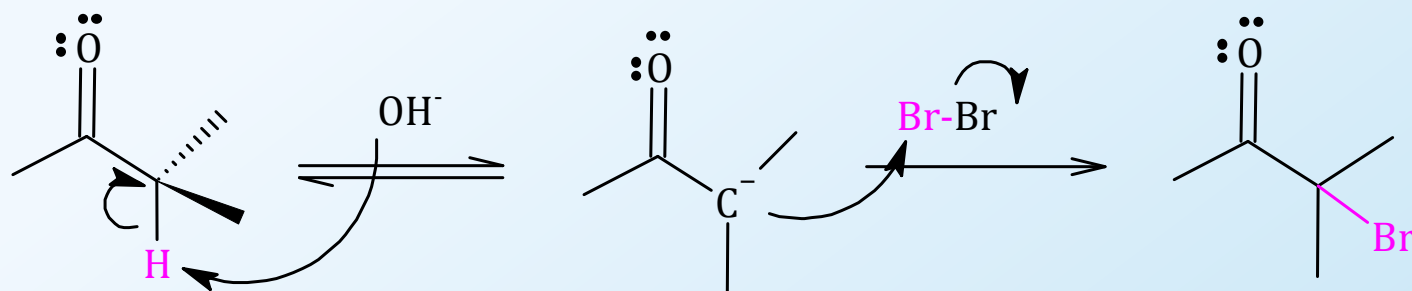
In the compound below the enol is more stable because it is stabilized by hydrogen bond.





# Reactions: $\alpha$ -Halogenation

Once the  $\alpha$  proton is abstracted, a nucleophile is generated which can now carry out substitution reactions with electrophiles.

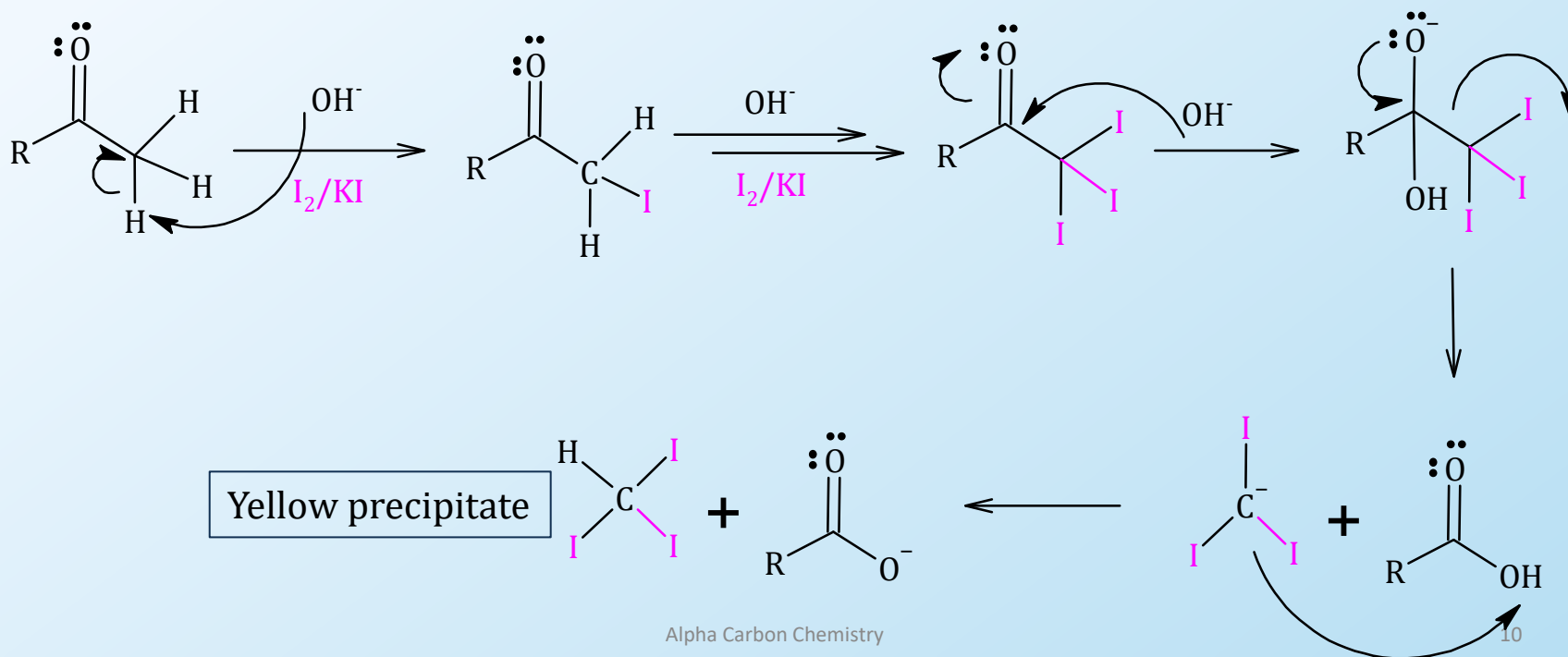


Bromination on the alpha position opens up the substrate for all substitution and/or elimination reactions can be performed. (*This is the basis of iodoform test of methyl ketones – next slide*)

# Reactions: $\alpha$ -Halogenation - Iodoform Test

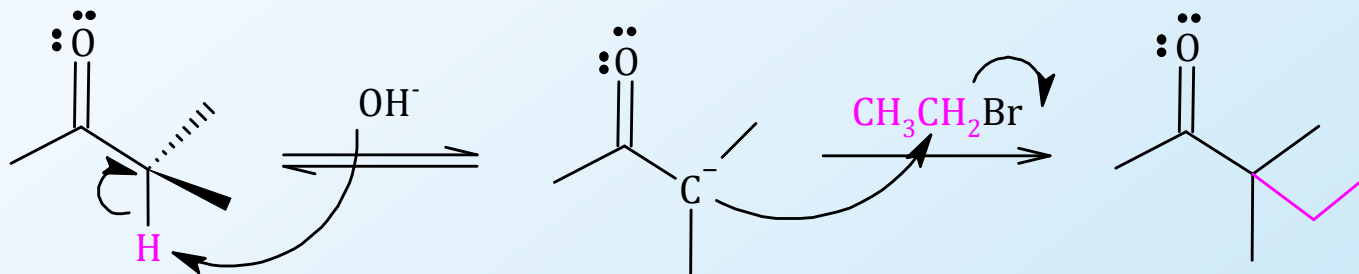
In iodoform test, a methyl ketone can be identified by the formation of a yellow precipitate in the presence of an aqueous solution of iodine in presence of a strong base (NaOH or KOH).

The reaction involves the acidic protons abstracted using a strong base, one by one, and substituted with iodide. Eventually in the last step,  $\text{CHI}_3$ , iodoform, cleaves giving a yellow precipitate while the ketone is oxidized to a carboxylic acid. (**NOTE:** R should not be  $\text{CH}_3$ .)



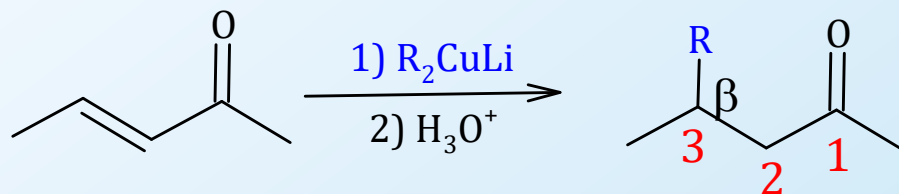
# Reactions: $\alpha$ -Alkylation

Alkylation is a great way of forming a C-C bond and extending the carbon chain with just hydrocarbons. Again, once the alpha proton is abstracted, the nucleophile generated will react with any alkyl halide in a  $S_N2$  mechanism.

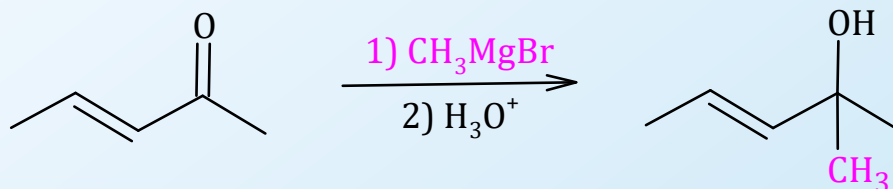


# Reactions: Conjugate Addition/Michael Addition

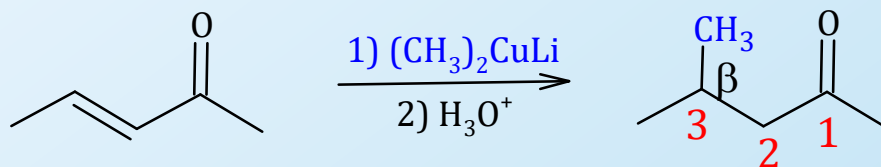
This is a very specific reaction that adds an alkyl group on the beta carbon (3<sup>rd</sup> carbon from carbonyl).



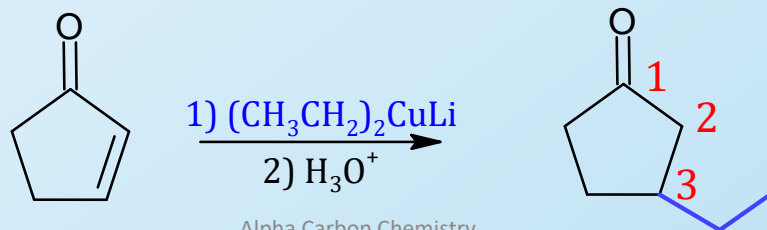
In a normal organometallic reaction, as in Grignard reaction, the alkyl group adds to carbonyl to give an alcohol as shown below.



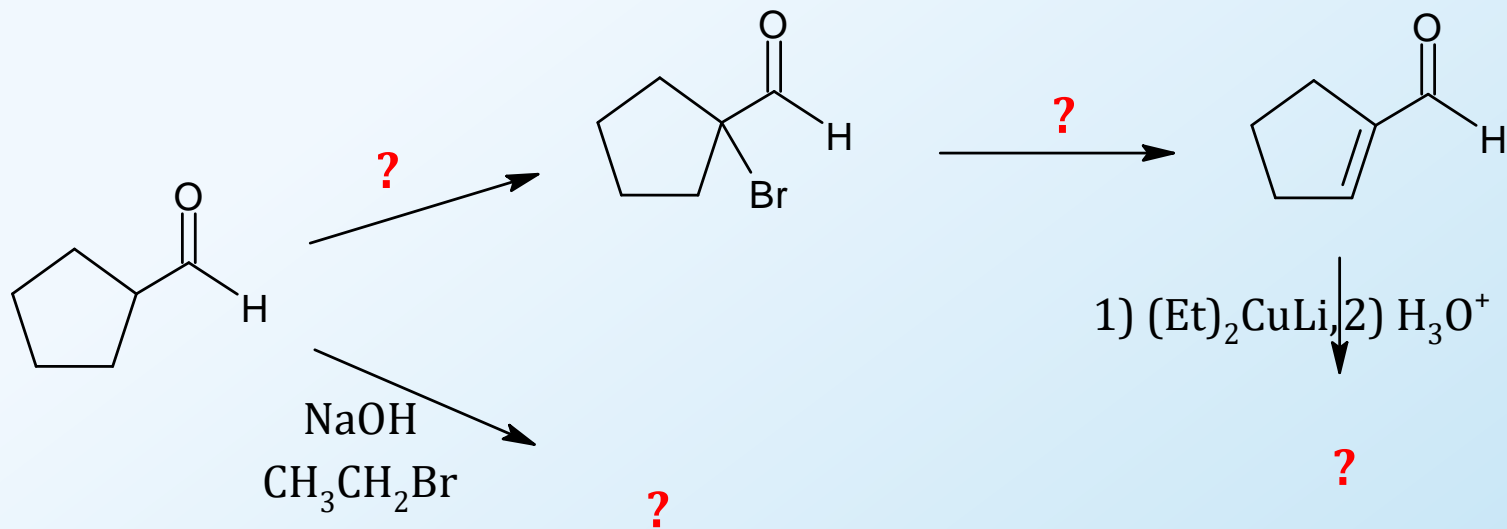
However, when there is a double bond conjugated with the carbonyl, there is addition on beta (β) carbon with a weakly nucleophilic dialkyl cuprate reagent.



Another example:

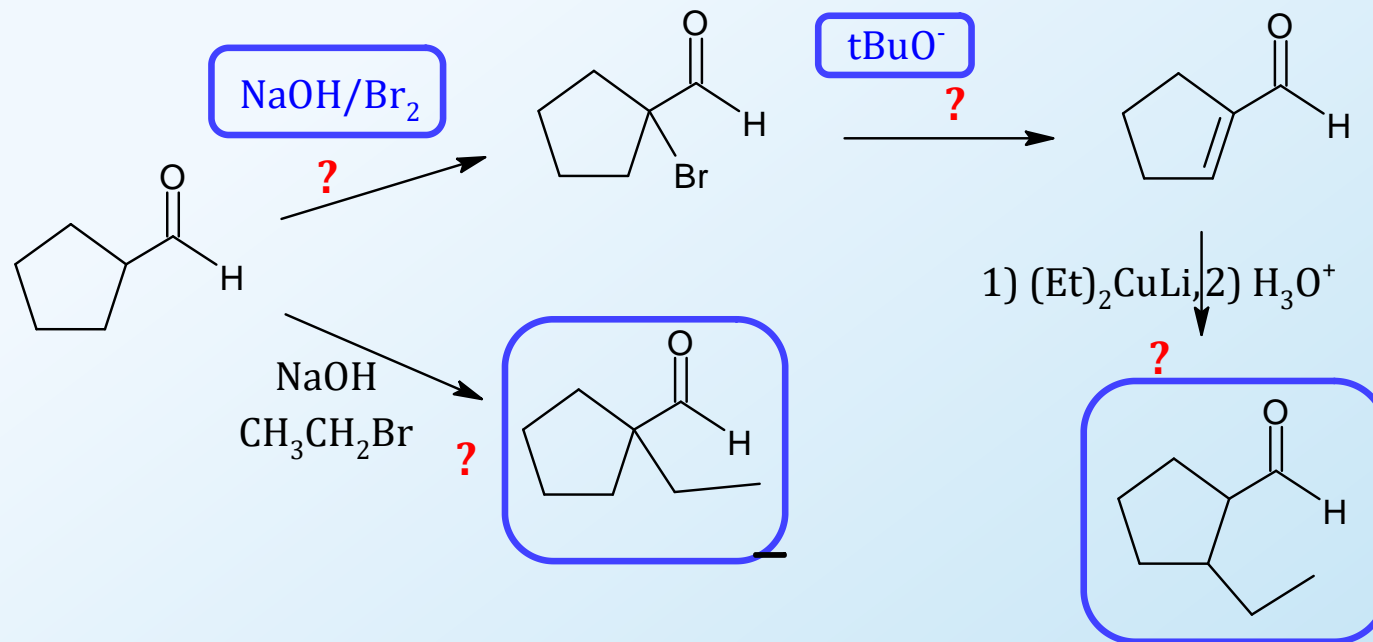


**Worked Example:** Complete the following reactions with the appropriate product, reagent or substrate.



**Worked Example:** Complete the following reactions with the appropriate product, reagent or substrate.

**Answers**



# Key Concepts

- $\alpha$  protons
- Keto enol tautomerism
- Halogenation at  $\alpha$  carbon
- Iodoform reaction
- Substitution reaction at  $\alpha$  carbon
- Michael addition